

PHASE 2 RESEARCH PLAN AUTHORIZATION FORM

UCI Samueli School of Engineering

Before completing this form, PIs/Centers need to complete their **Phase 2 Research Safety Plan**, which includes a Phase 2 research assessment and protocols, via the Google Form:

<https://forms.gle/a3ans2oit9WyEF4a6>

PI/CENTER DIRECTOR INFORMATION

First name: Click or tap here to enter text. **Last name:** Click or tap here to enter text.

UCI e-mail: Click or tap here to enter text. **UCI phone number:** Click or tap here to enter text.

Department/Center: Click or tap here to enter text.

1) ASSESSMENT (required)

- ☐ I have completed a Research Assessment at the research program/laboratory level in my **Phase 2 Research Safety Plan**
- ☐ I have assessed current face coverings, personal protective equipment (PPE) stocks, disinfecting solution, and other safety supplies and determined that sufficient stocks exist for Phase 2, and that I will implement scheduled/regular ordering to help ensure consistent availability of these essential supplies.

2) RETURN TO EARLIER PHASE (required)

- ☐ If operationally necessary or if required by the Office of Research in response to local health directives, I will cease Phase 2 work and safely ramp down research in my laboratories and shared spaces. Continuation of operations will require a new approval by the Samueli School of Engineering and will follow Phase 1 Essential Research protocol per email sent on [March 20, 2020 by Dean Gregory Washington](#) and the required [Exception Form](#).

3) PROTOCOLS (required)

- ☐ I have completed specific protocols in my **Phase 2 Research Safety Plan**

To summarize, my Phase 2 Research Plan includes the following protocols.

Additional/alternative plan-specific measures are detailed in my Phase 2 Research Plan.

3a. Individual Control Measures and Screenings (check all that apply)

- ☐ Controlling population density so that it does not exceed Phase 2 limits at any time
- ☐ Physical distancing measures
- ☐ Face coverings required when in the presence of others
- ☐ Frequently practicing hygiene measures, such as hand washing
- ☐ Symptom self-screening measures
- ☐ Controlling access and use of research space that is not shared by limiting access to only the research program's/laboratory's members
- ☐ Controlling access and use of shared research space by limiting access to only UCI employees, appointees and registered students

3b. Disinfecting Protocols (check all that apply)

- ☐ Following UCI's COVID-19 cleaning procedures for general laboratories – [EH&S Lab Cleaning Guidance](#)
- ☐ Stocked hand washing and cleaning station in each laboratory
- ☐ Scheduled and assigned cleaning for high-use research surfaces

3c. Physical Distancing Guidelines (check all that apply)

- ☐ Directional signs and floor markings to control the flow of personnel and help ensure that they remain physically distant
- ☐ Physical barriers between work stations located less than six feet apart and where two or more researchers must work in close proximity
- ☐ Designating "entry only" and "exit only" doors
- ☐ Using a shared calendar to schedule access and control population density over time
- ☐ Using shift work (i.e., staggered work schedules) control population density over time
- ☐ Conducting remote group meetings
- ☐ Minimizing in-person one-to-one meetings to times when it is necessary for conducting the research or for safety reasons – both people using face coverings, other PPE as necessary or required by safety protocols, and remaining physically distant (except for safety reasons and emergencies)

4) PERSONNEL TRAINING (required)

- ☐ I have completed specific measures for personnel training at the research program/laboratory level in my **Phase 2 Research Safety Plan**
- ☐ I acknowledge that for any persons who are at [higher risk for severe illness due to COVID-19](#) or who want to continue to stay at home for personal or household reasons should not be included in Phase 2 research in the laboratories/on campus

5) COMPLIANCE MONITORING MEASURES (required)

- ☐ I have completed specific measures for monitoring compliance at the research program/laboratory level in my **Phase 2 Research Safety Plan**

By signing this Phase 2 Research Plan Authorization Form, I affirm that I have reviewed UCI and the Samueli School of Engineering protocols, and I agree to abide by the **Phase 2 Research Safety Plan** I submitted.

Signature of PI/Center Director: Click or tap here to enter text.

Date plan submitted to Samueli School of Engineering: Click or tap to enter a date.

Name of Authorized Official: Click or tap here to enter text.

Title of Authorized Official: Click or tap here to enter text.

Date of Authorized Official's approval of plan: Click or tap to enter a date.