1. **General Questionnaire**

* Is this proposal in response to an SBIR or STTR solicitation?
  + If yes is it :
    - SBIR
    - STTR
    - Phase I Funding?
* Are you planning to use any ICTS facilities and/or resources in the conduct of this research project? If **yes**, contact [Andria Pontello](mailto:apontell@uci.edu).
* Will this research need or use any IT systems, hardware, software, data management, or any other IT infrastructure or services?

1. **Clinical Trial**

* Is this a clinical trial?  If yes, please answer the following questions:
  + Is the study PI Initiated?
  + Is the study Sponsor Initiated?
  + Is this a Drug Study?
  + Is this a Device Study?

1. **Compliance**

* Will the project, including any portion of the project conducted at subaward sites, involve human research? If yes, an IRB protocol must be entered on the Compliance tab.
* Will the project, including any portion of the project conducted at subaward sites, involve the use of live vertebrate animals? If yes, an IACUC protocol must be entered on the Compliance tab.
* Does this activity involve the use of pluripotent human stem cells including human gametes and embryos, the derivation and/or use of human embryonic, fetal stem cells, induced pluripotent stem cells derived from adult cells, any cells which can differentiate into a gamete, or any other human pluripotent stem cells? If yes, a hSCRO protocol must be entered on the Compliance tab.

* Will the project involve recombinant or synthetic nucleic acids, biological organisms, toxins of biological origins, human and non-human primate materials? If yes, an IBC protocol must be entered on the Compliance tab.
  + If yes:
    - Will the project involve CDC and/or USDA select agent usage?
* Are any of the sponsors (including prime sponsor) a non-governmental entity, such as a for-profit company or non-profit organization?
  + If yes:
    - Is that sponsor or prime sponsor on the [exempt sponsor list](http://www.ucop.edu/research-policy-analysis-coordination/policies-guidance/conflict-of-interest/list-of-non-governmental-entities-exempt-from-disclosure-requirement.html) or a non-profit university?
    - Has the sponsor/prime sponsor made a decision to fund this project? If no, a Contracts & Grants Officer will request the [Form 700U](https://www.research.uci.edu/cascade/forms/docs/coi/state-700U.pdf) at award stage.
* Are there any subrecipients following UCI's conflict of interest policy (because their institution does not have its own compliant conflict of interest policy)?

If yes, please attach the appropriate financial disclosure(s) for the subrecipient Investigator(s) in the subrecipient package.

• PHS compliant projects: Form 800SR

• NSF compliant projects: Form 900SR

* Are there any non-UCI Investigators participating in UCI's portion of the project? Please exclude all subrecipient Investigators.

If yes, please attach the appropriate financial disclosure(s) for the non-UCI Investigator(s).

• PHS compliant projects: Form 800SR

• NSF compliant projects: Form 900SR

* For Export Control purposes, please check all that apply for this project:

\_ Sponsorship by a foreign entity

\_ Work conducted by UCI personnel completed at foreign sites

\_ Foreign subrecipients/subcontractors or foreign collaborators

\_ Export of equipment, materials or software by UCI

\_ Travel to or transactions with Cuba, Iran, North Korea, Sudan or Syria

\_UCI receiving export controlled and/or proprietary information

\_ Work related to defense or national security applications (aerospace, electronic communications, etc.)

\_ None of the above

1. **EH&S**

* Will the project involve the use of DEA Controlled Substances or Precursor Chemicals?
* Will the project involve chemical carcinogens?
* Will the project involve scientific diving under water, under water research or field research that takes place under water?
* Will the project involve research outdoors, off-campus, and abroad? Possible remote areas, at least 30 minutes from emergency medical services or with limited communications?
* Will the project require a Radiation Use Authorization (RUA)?
* Will the project involve Class 3b or Class 4 lasers?